

# Bang Equity Broking Private Limited

Composite Corporate Member : The Stock Exchange, Mumbai

SEBI Reg.No.: INB011070852

CIN No. U64491MH1997PTC110690

37, Khatau Bldg., 2nd Floor,  
Alkesh Dinesh Mody Marg,  
Fort, Mumbai - 400 001.

Tel.: 3926 8600 / 3926 8601

Fax: 3926 8010

Date: 25<sup>th</sup> October, 2017

To,  
Investment Management Department – Division of Funds – 1  
Securities and Exchange Board Of India  
SEBI Bhavan, 3<sup>rd</sup> Floor A Wing,  
Plot No. C4 – A, 'G' Block,  
Bandra – Kurla Complex,  
Bandra (E), Mumbai – 400 051

Respected Sir,

**Ref.: Portfolio Manager Registration No. INP000005182**

**Sub.: Submission of Disclosure Document for Portfolio Managers Registered under  
SEBI (Portfolio Managers) Regulations, 1993.**

With reference to above enclosed please find the following documents against Portfolio Manager Registration number **INP000005182** under SEBI (Portfolio Managers) Regulations, 1993.

1. Disclosure Document
2. Form C
3. Disclosure document Certification from Chartered Accountants.

Kindly find the same in order and let us know for any further documents / information required and the same shall be promptly provided.


You may contact Mr. Deepal Mehta on Tel. no: +91-22-39268192; Email: [deepal.mehta@nirmalbang.com](mailto:deepal.mehta@nirmalbang.com) for any further requirements.

Kindly acknowledge the receipt of the same.

Thanking you,

Yours truly,

**For Bang Equity Broking Pvt Ltd.**

  
**Vijay Bagaria**  
Compliance Officer

Date: 25<sup>th</sup> October, 2017

Place: Mumbai



V. B. Goel & Co  
Chartered Accountants

3, Ajay Apartments,  
Kasturba Cross Road,  
Malad (West), Mumbai - 64  
☎ +91 22 28441350 -28441351  
✉ [info@vbgco.com](mailto:info@vbgco.com)  
Website: [www.vbgco.com](http://www.vbgco.com)

**CERTIFICATE**

In matter of:           Bang Equity Broking Pvt Ltd  
37, Khatau Building, 2<sup>nd</sup> Floor,  
Alkesh Dinesh Modi Marg, Fort,  
Mumbai - 400001.

Dear Sir,


We have examined the information provided in the disclosure document from the books of account and other records of the Company made available to us and based on such verification and the information and explanations provided to us, it is confirmed that:

The information provided in the Disclosure documents dated 30<sup>th</sup> September, 2017; copy attached herewith, as required by the Securities and Exchange Board of India (Portfolio Managers) Regulation, 1993 as amended from time to time is true, fair and adequate to enable the investors to make a well informed decision.

Place: Mumbai  
Date: 25.10.2017



For V. B. GOEL & CO.  
Chartered Accountants  
FRN No:- 115906W

  
(Vikas Goel)  
Partner  
Membership No. : 39287

**BANG EQUITY BROKING  
PVT LTD  
PORTFOLIO  
MANAGEMENT SERVICES  
DISCLOSURE DOCUMENT  
SEPTEMBER 2017**

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M/s Bang Equity Broking Pvt Ltd

CIN Number: U64491MH1997PTC110690



**PORTFOLIO MANAGEMENT SERVICES**  
**DISCLOSURE DOCUMENT**

*(As required under Regulation 14 of SEBI (Portfolio Managers) Regulations, 1993)*

- This Disclosure document has been filed with the Securities and Exchange Board of India along with a certificate in the prescribed format in terms of Regulation 14 of the Securities and Exchange Board of India (Portfolio Managers) Regulations, 1993.
- The purpose of the document is to provide essential information about the portfolio services in a manner to assist and enable the investors in making informed decisions for engaging a Portfolio Manager.
- The Document gives the necessary information about the Portfolio Manager required by an investor before investing is disclosed in the Disclosure document.
- Investors should carefully read the entire document before making a decision and should retain it for future reference
- The Principal Officer designated by the Portfolio Manager is: Mr. Kishore Bang

Telephone No. : 022 – 39268000

E-mail : [pmsoperations@nirmalbang.com](mailto:pmsoperations@nirmalbang.com)

- The Disclosure document is dated September 30,2017

**PORTFOLIO MANAGEMENT SERVICES – DISCLOSURE DOCUMENT****TABLE OF CONTENTS**

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**1. Disclaimer**

The Disclosure document has been prepared in accordance with the Securities and Exchange Board of India (Portfolio Managers) Regulations, 1993 and filed with Securities and Exchange Board of India ("SEBI"). This document has neither been approved / disapproved by SEBI nor has SEBI certified the accuracy or adequacy of the contents of the document.