

Date: 06th April, 2023

To,
Listing Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

Dear Sir,

Subject : STRUCTURED DIGITAL DATABASE (SDD) COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2023

With reference to the subject matter, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended March 31, 2023.

We request you to take the same on record and acknowledge the receipt of the same.

Thanking You,

Yours faithfully,
For Nirmal Bang Securities Private Limited

Namrata Pai
Company Secretary & Compliance Officer

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED MARCH 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Namrata Pai, Company Secretary and Compliance Officer am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that;

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 event during the quarter ended 31st March, 2023 and has captured 1 number of the said required event.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NA

For Nirmal Bang Securities Private Limited

Namrata Pai
Company Secretary & Compliance Officer

Date: 06th April, 2023
Place: Mumbai