

Date: 06<sup>th</sup> October, 2023

To,  
Listing Department  
**Bombay Stock Exchange Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 001

Dear Sir,

Subject : **STRUCTURED DIGITAL DATABASE (SDD) COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2023**

With reference to the subject matter, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended September 30, 2023.

We request you to take the same on record and acknowledge the receipt of the same.

Thanking You,

Yours faithfully,  
For Nirmal Bang Securities Private Limited

**Namrata Pai**  
Company Secretary & Compliance Officer



Registered Office : B-2, 302, Marathon Innova, Ganpatrao Kadam Marg, Opp. Peninsula Corporate Park, Lower Parel (W), Mumbai - 400013

Tel.: 6273 8000 / 6273 8001 Fax : 6273 8010

Correspondence Address : 101 to 701, B Wing, Khandelwal House, Poddar Road, Malad (E), Mumbai - 400 097.

Tel.: 6273 9000 / 6273 9099 Fax : 6273 9102

**CIN NO.: U99999MH1997PTC110659**

**Member : BSE, NSE, MSEI, SEBI Registration No. INZ000202536**

**Exchange Registered Broker in BSE Currency Segment ,**

**Exchange Registered Broker in BSE & NSE Commodity Segment**

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2023**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Namrata Pai, Company Secretary and Compliance Officer am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that;

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 3 event during the quarter ended 30<sup>th</sup> September, 2023 and has captured 3 number of the said required event.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NA

For Nirmal Bang Securities Private Limited

**Namrata Pai**  
Company Secretary & Compliance Officer

Date: 06<sup>th</sup> October, 2023

Place: Mumbai



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