

Date: 03rd January, 2024

To,
Listing Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

Dear Sir,

Subject : **STRUCTURED DIGITAL DATABASE (SDD) COMPLIANCE CERTIFICATE FOR
THE QUARTER ENDED DECEMBER 31, 2023**

With reference to the subject matter, please find enclosed the Structured Digital Database (SDD) Compliance Certificate for the Quarter ended December 31, 2023.

We request you to take the same on record and acknowledge the receipt of the same.

Thanking You,

Yours faithfully,
For Nirmal Bang Securities Private Limited

Namrata Pai
Company Secretary & Compliance Officer

Registered Office : B-2, 302, Marathon Innova, Ganpatrao Kadam Marg, Opp. Peninsula Corporate Park, Lower Parel (W), Mumbai - 400013
Tel.: 6273 8000 / 6273 8001 Fax: 6273 8010

Correspondence Address : 101 to 701, B Wing, Khandelwal House, Poddar Road, Malad (E), Mumbai - 400 097.
Tel.: 6273 9000 / 6273 9099 Fax: 6273 9102

CIN NO.: U99999MH1997PTC110659

Member : BSE, NSE, MSEI, SEBI Registration No. INZ000202536
Exchange Registered Broker in BSE Currency Segment,
Exchange Registered Broker in BSE & NSE Commodity Segment

cs.roc@nirmalbang.com

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED DECEMBER 31, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Namrata Pai, Company Secretary and Compliance Officer am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that;

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 2 event during the quarter ended 31st December, 2023 and has captured 2 of the said required event.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NA

For Nirmal Bang Securities Private Limited

Namrata Pai
Company Secretary & Compliance Officer

Date: 03rd January, 2024
Place: Mumbai

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